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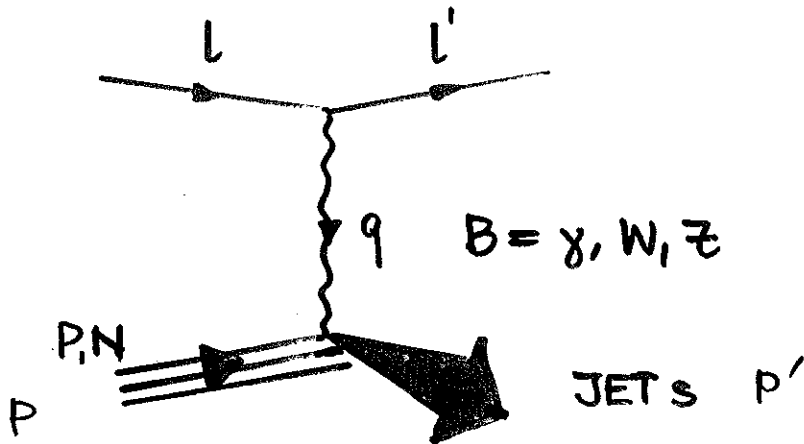
STRUCTURE FUNCTIONS AT SMALL X

J. BLÜMLEIN
DESY - ZEUTHEN

1. INTRODUCTION
2. EXTRAPOLATION OF AP,
 $O(\alpha_s)$, $O(\alpha_s^2)$ RESULTS
3. THE FKL EQUATION
4. THE GLR EQUATION
5. CONCLUSIONS

1. INTRODUCTION

DEEP INELASTIC SCATTERING :



$$Q^2 = -(l - l')^2, \quad x = \frac{Q^2}{2Pq}, \quad y = \frac{Q^2}{sx}$$

$$x \ll 1$$

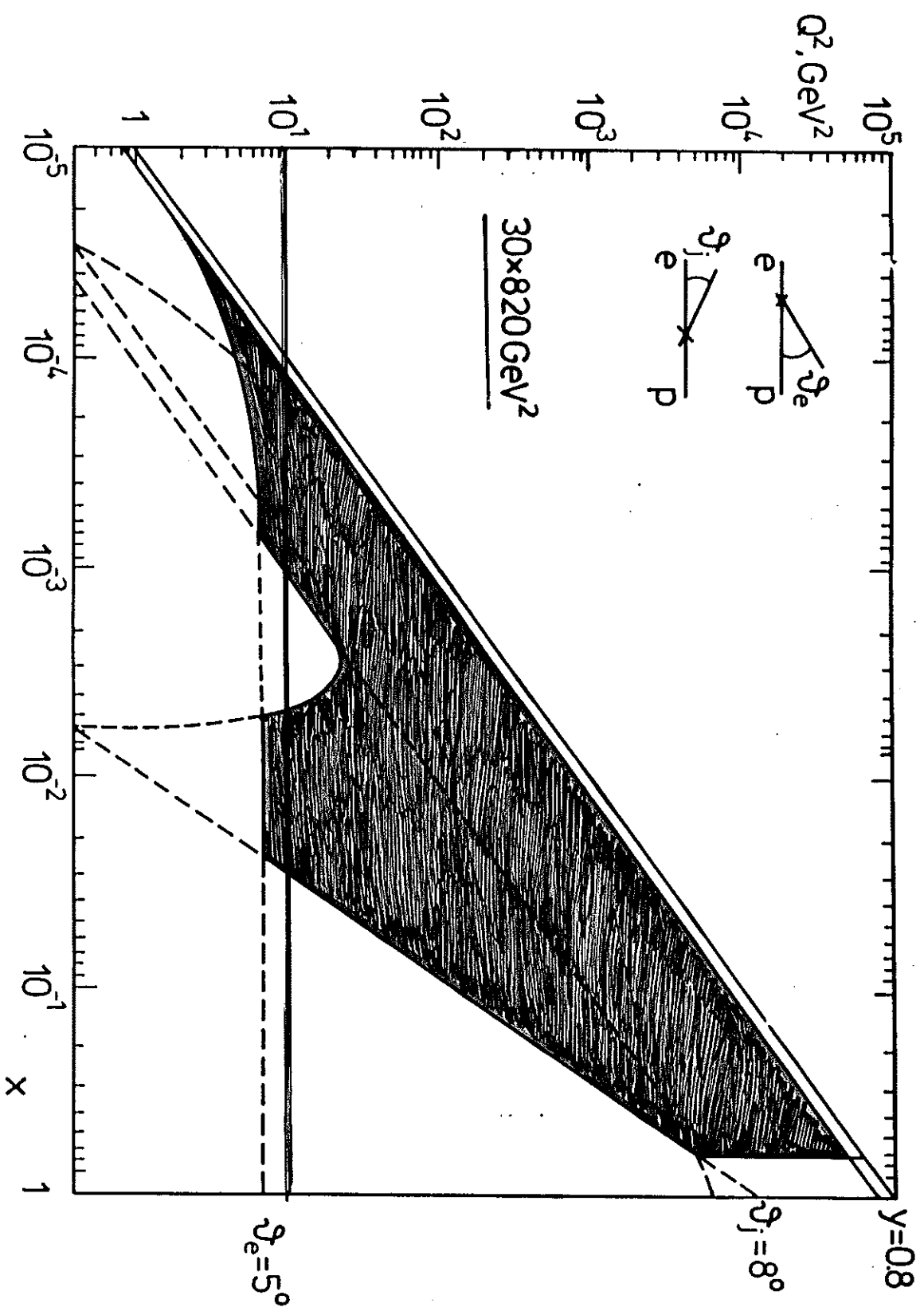
$$\frac{d^2 \sigma_{e^+p}}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} [2x F_1(x, Q^2) y^2 + F_2(x, Q^2) 2(1-y)]$$

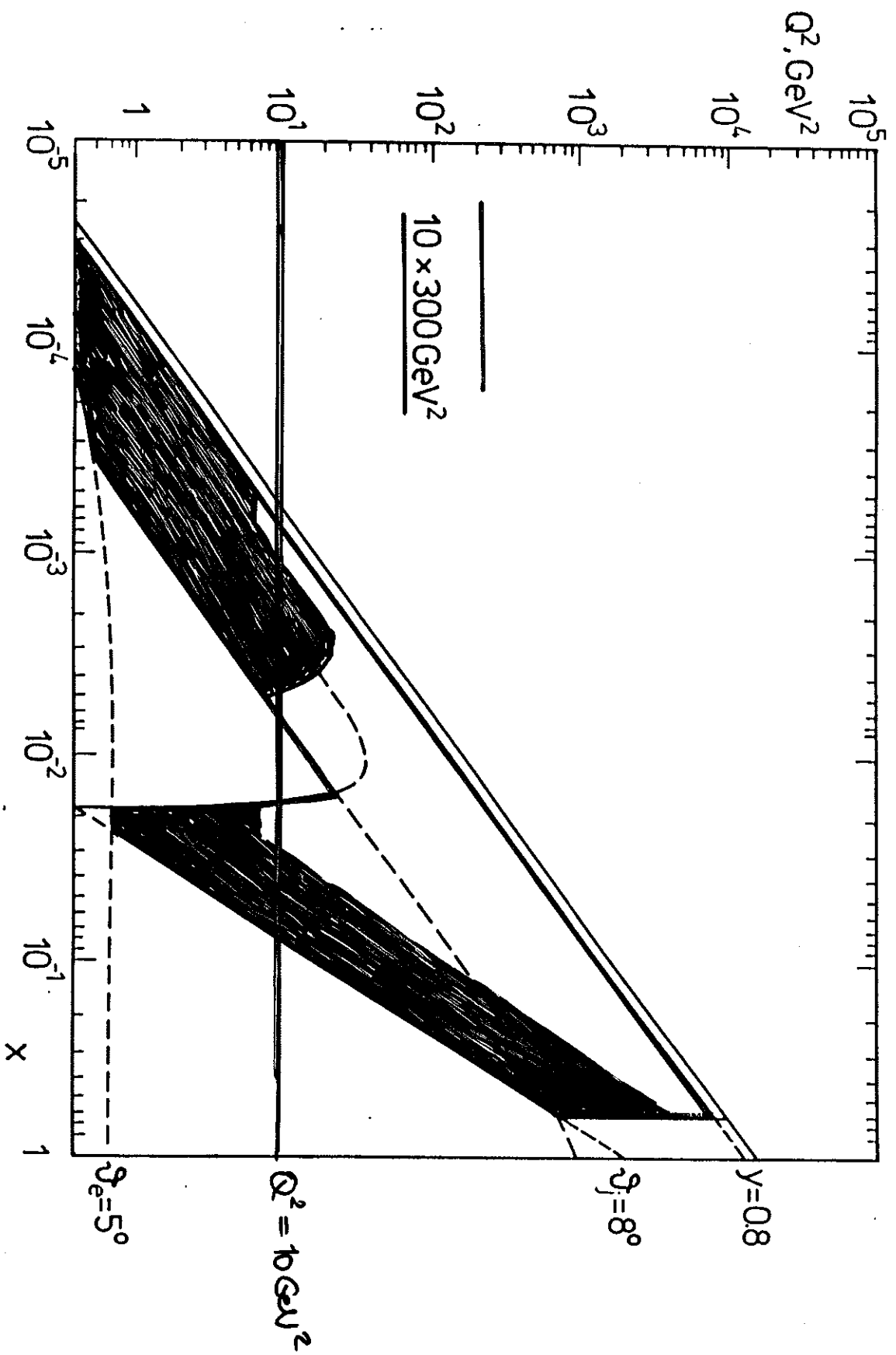
EVOLUTION:

$$x = 0.01 \dots 1 \quad \text{AP-EQU.}$$

$$\begin{pmatrix} q_i(x, Q^2) \\ G(x, Q^2) \end{pmatrix} = \left(A_{kj}(Q^2, Q_0^2, x, \Lambda^2) \right) \otimes \begin{pmatrix} q_i(x, Q_0^2) \\ G(x, Q_0^2) \end{pmatrix}$$

$$(A \otimes B)(x) = \int_0^1 dx_1 \int_0^1 dx_2 \delta(x - x_1 x_2) A(x_1) B(x_2)$$





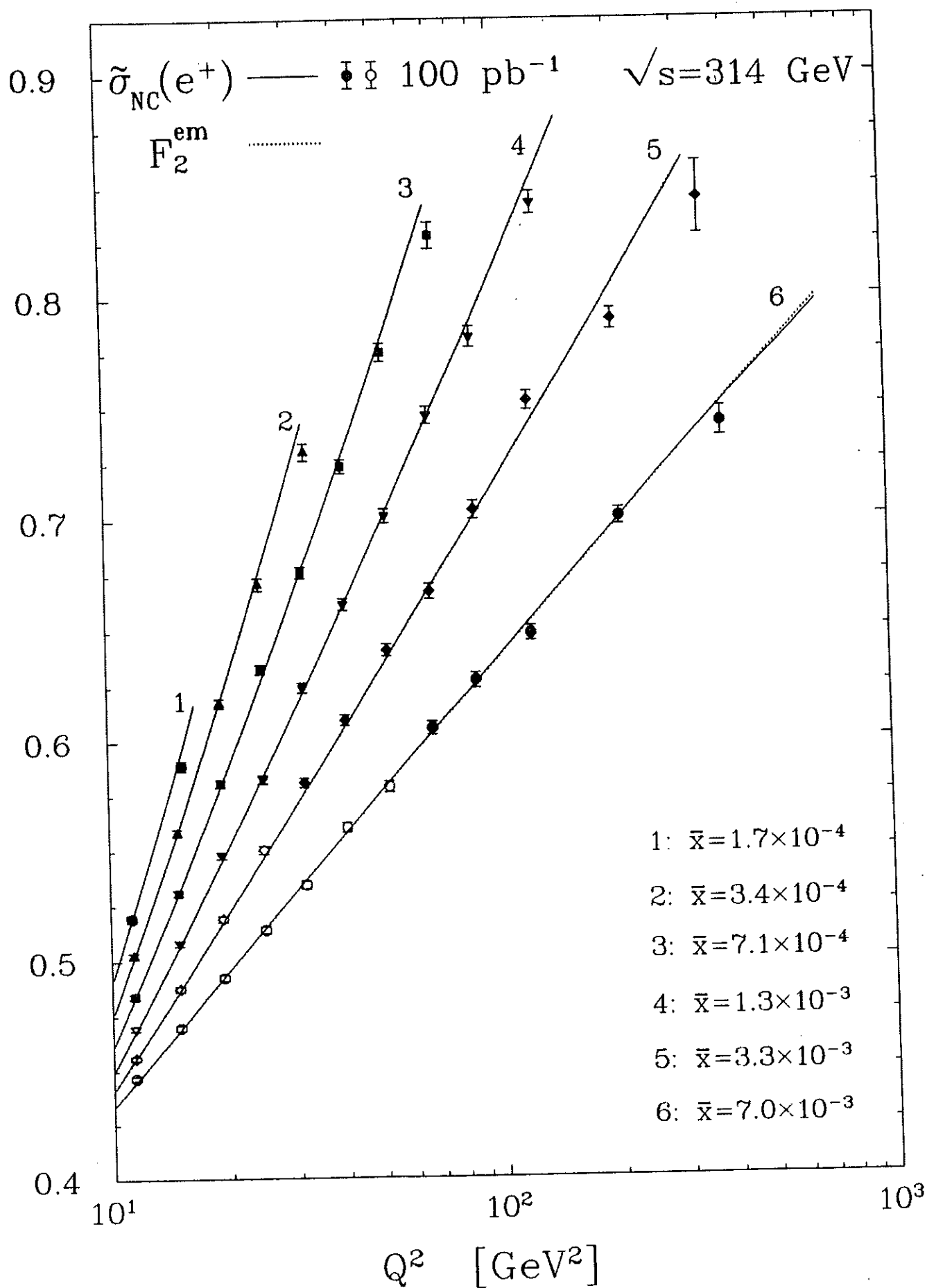


Fig. 6

PROBLEM:

$$F_i(x, \alpha^2) \underset{\substack{x \rightarrow 0 \\ \text{AP}}}{\sim} \exp \sqrt{\ln \frac{1}{x}}$$

→ HO TWIST 2

→ NEW DYNAMICS TO GET

$$\sigma \sim \pi R^2(s)$$

→ SUMMATION OF LARGE EFFECTS AT SMALL x

2. EXTRAPOLATION OF AP TO SMALL x & $O(\alpha_s, \alpha_s^2)$ RESULTS

- CONSIDER ONLY GLUONS (DOMINATING, $x \ll 1$)

$$G(x, Q^2) := x G(x, Q^2)$$

$$\frac{dG(x, Q^2)}{d \ln Q^2} = \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dx'}{x'} \left[\underset{\substack{\uparrow \\ \text{LO}}}{6} - \underset{\substack{\uparrow \\ \text{NTLO}}}{\frac{61}{9} N_f \frac{\alpha_s}{2\pi}} \right] \frac{x^2}{x'^2} G(x', Q^2)$$

$$\text{DF: } y = \frac{8N_c}{\beta_0} \ln \frac{1}{x}, \quad \xi = \ln \ln \left(\frac{Q^2}{\Lambda^2} \right)$$

$$\frac{\partial^2 G(y, \xi)}{\partial y \partial \xi} = \frac{1}{2} G(y, \xi) \quad \text{LO}$$

$$\frac{\partial^2 G(y, \hat{\xi})}{\partial y \partial \hat{\xi}} = \frac{1}{2} G(y, \hat{\xi}) \quad \text{NTLO}$$

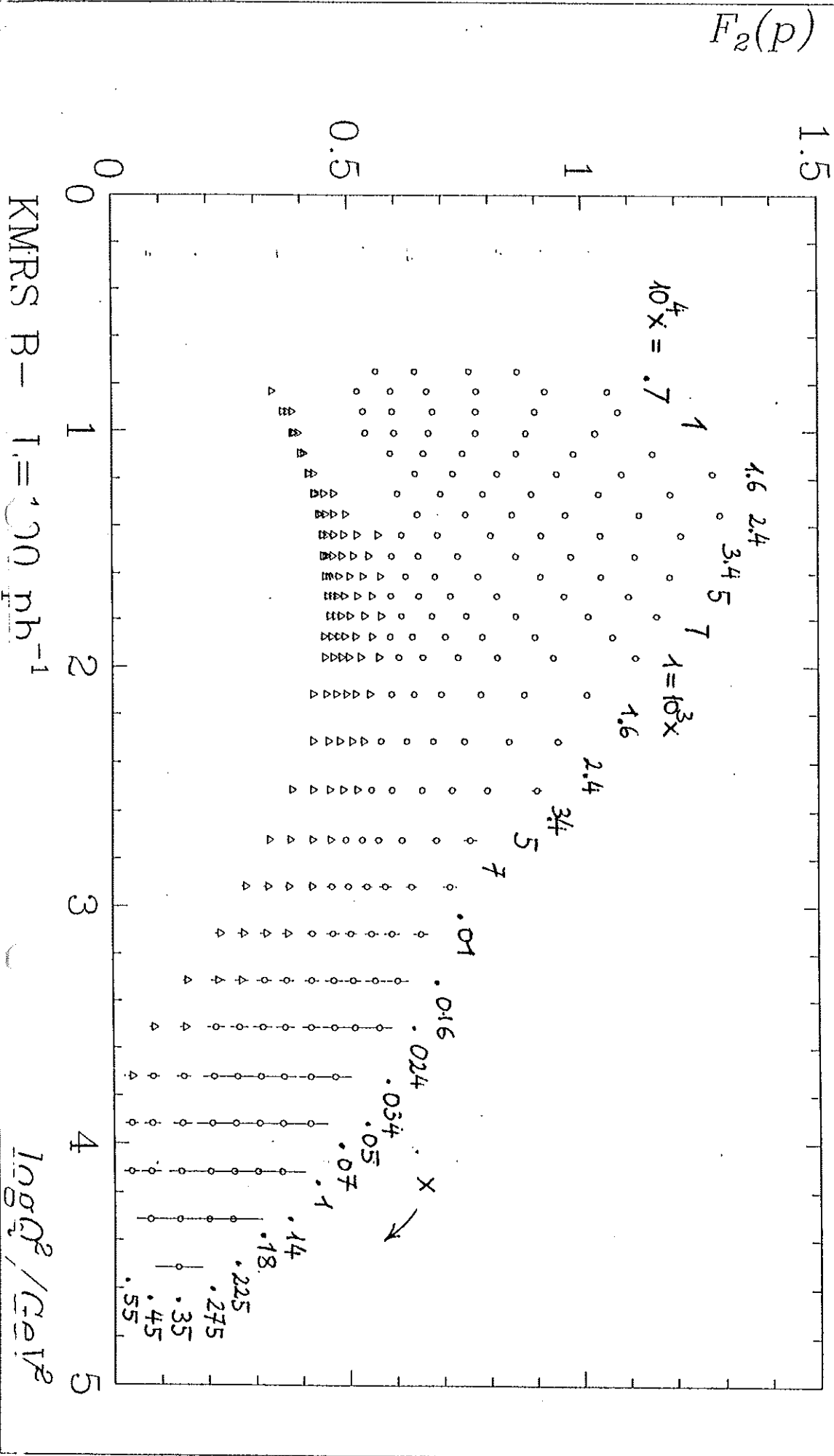
$$\hat{\xi} = \xi + f(\xi); \quad f'(\xi) = - \left[\frac{\beta_1}{\beta_0} \xi e^{-\xi} + \frac{61}{63} \frac{2N_f}{\beta_0} e^{-\xi} \left(1 - \frac{\beta_1}{\beta_0} f e^{-\xi} \right) \right]$$

SOLUTIONS:

$$G(y, \hat{\xi}) = \sum_{\nu=0}^{\infty} \left\{ A_\nu \left(\frac{2\hat{\xi}}{y} \right)^{\nu/2} + B_\nu \left(\frac{y}{2\hat{\xi}} \right)^{\nu/2} \right\}$$

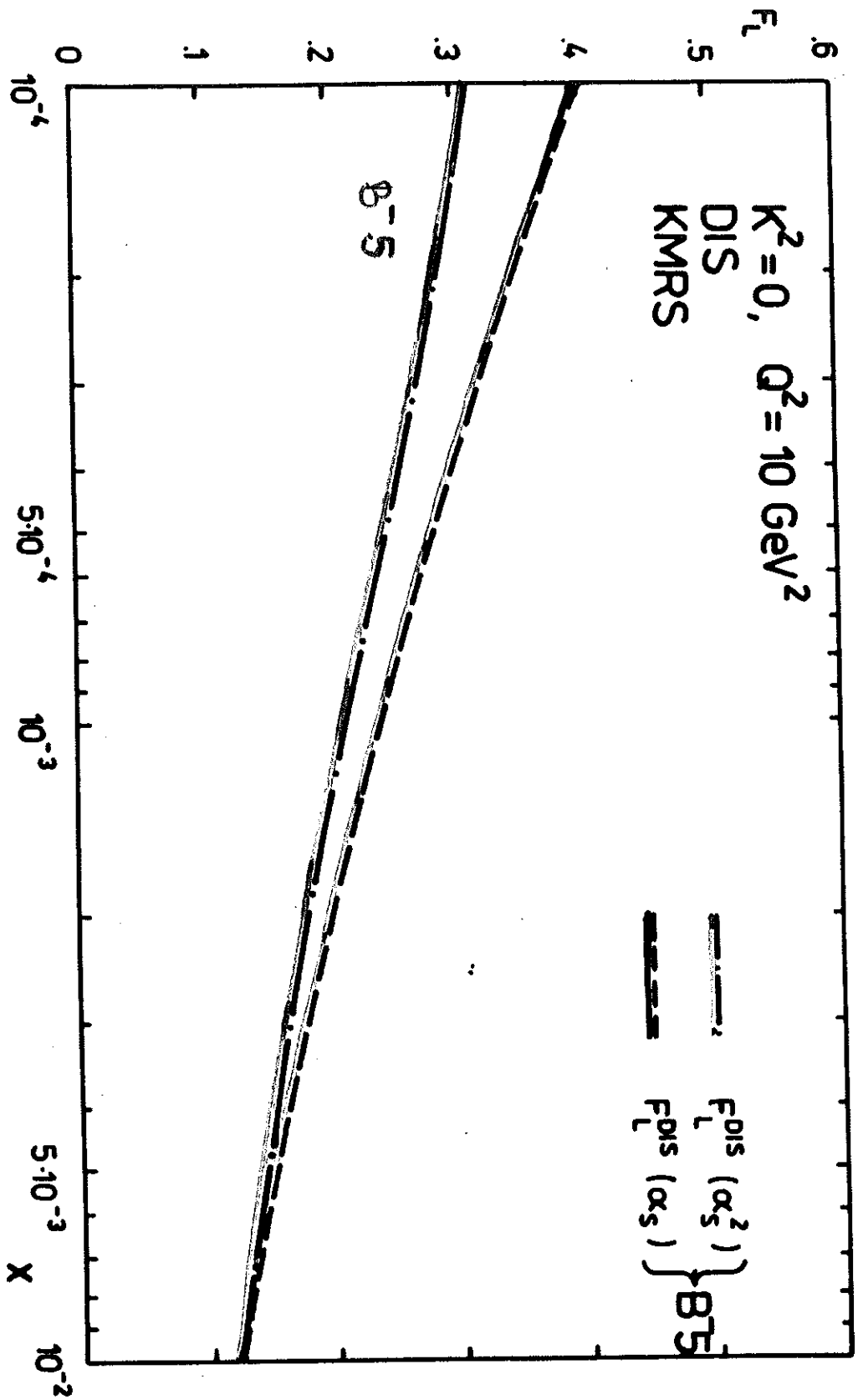
$$\bullet I_\nu(\sqrt{2\xi y})$$

$G(y, \xi)$ $y \rightarrow \infty$ GROWS FASTER THAN A POWER OF $\ln(1/x)$.

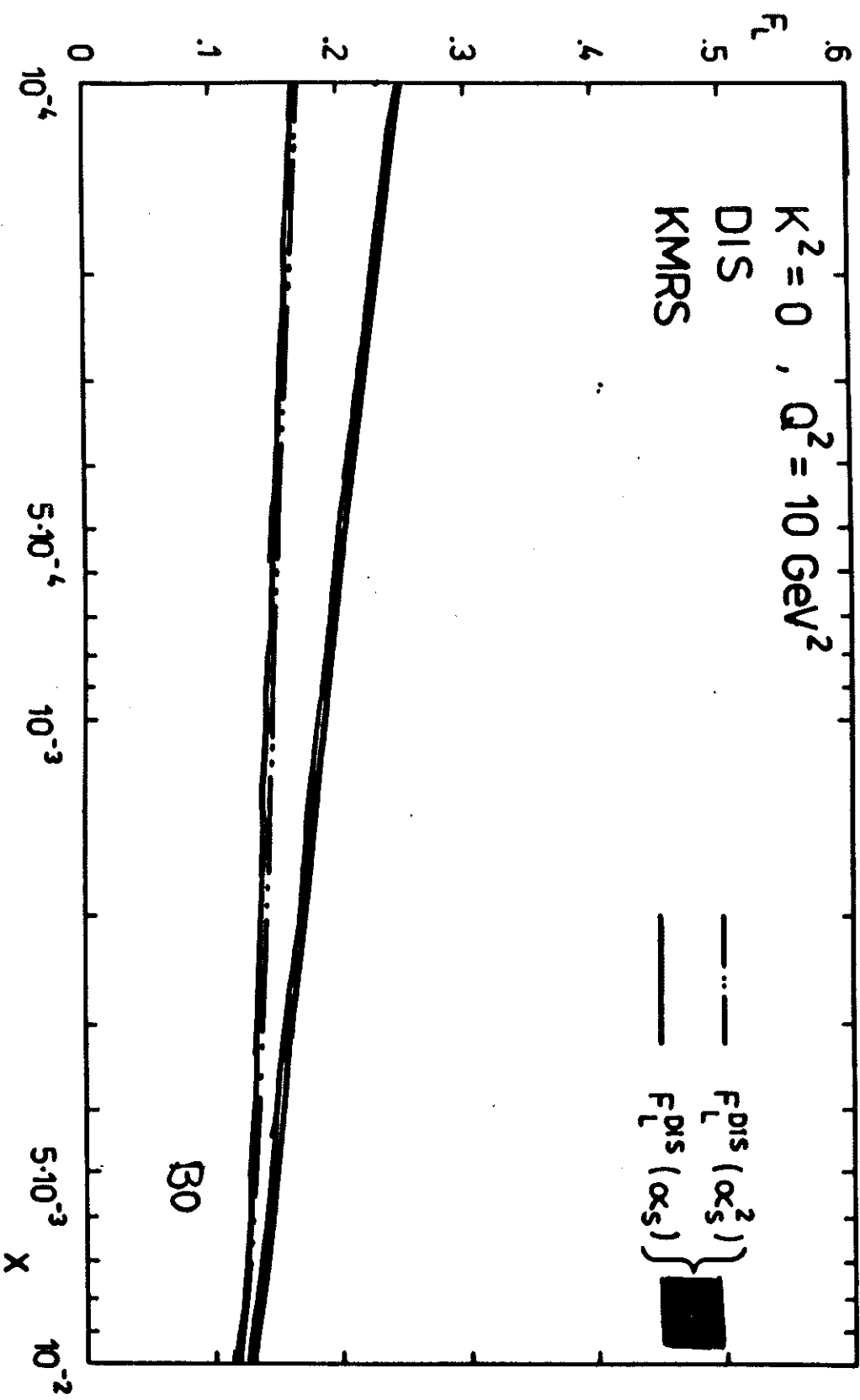


IMPORTANCE OF HIGHER ORDER CORRECTIONS

ZILSTRA, VAN NEEUW

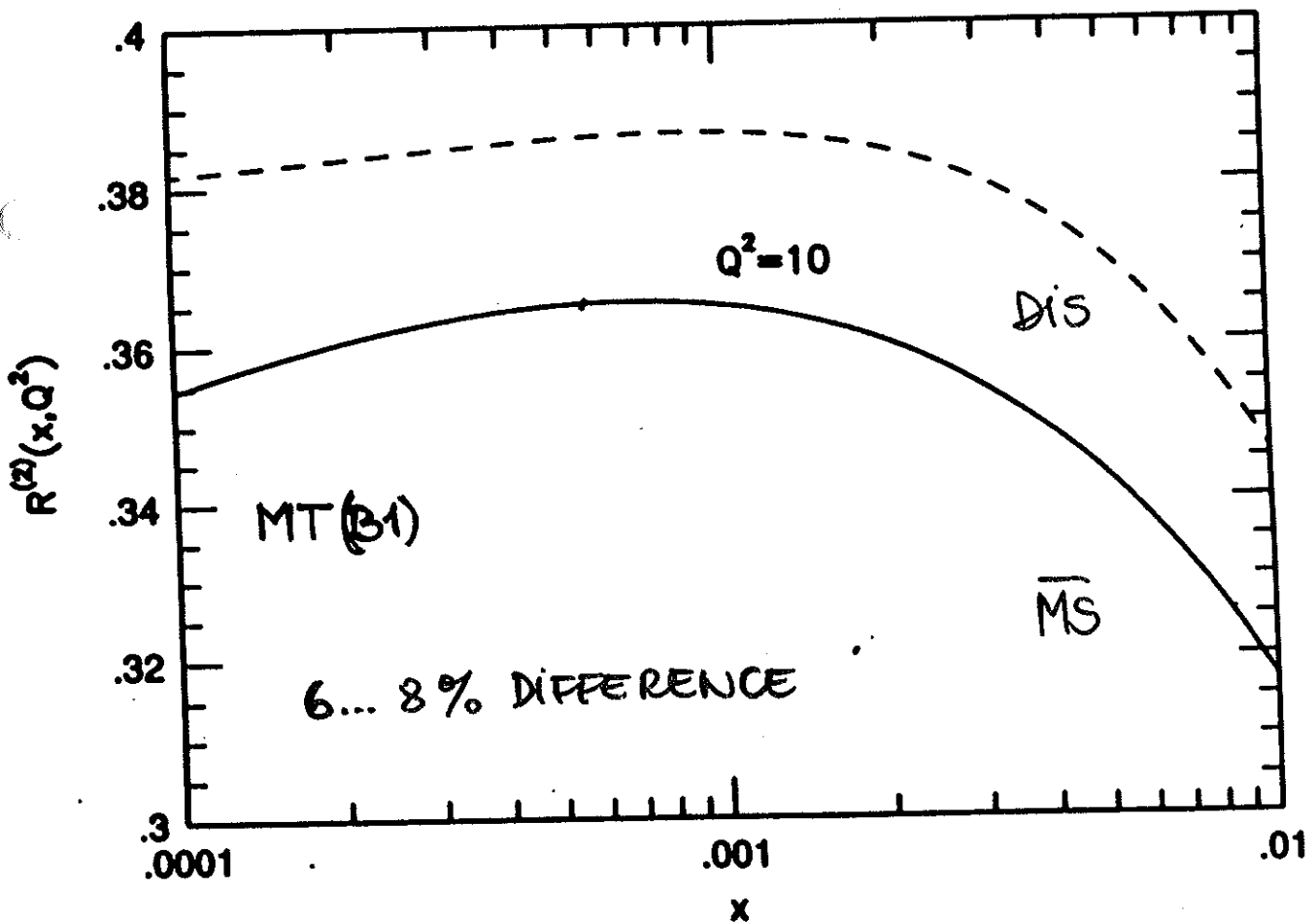


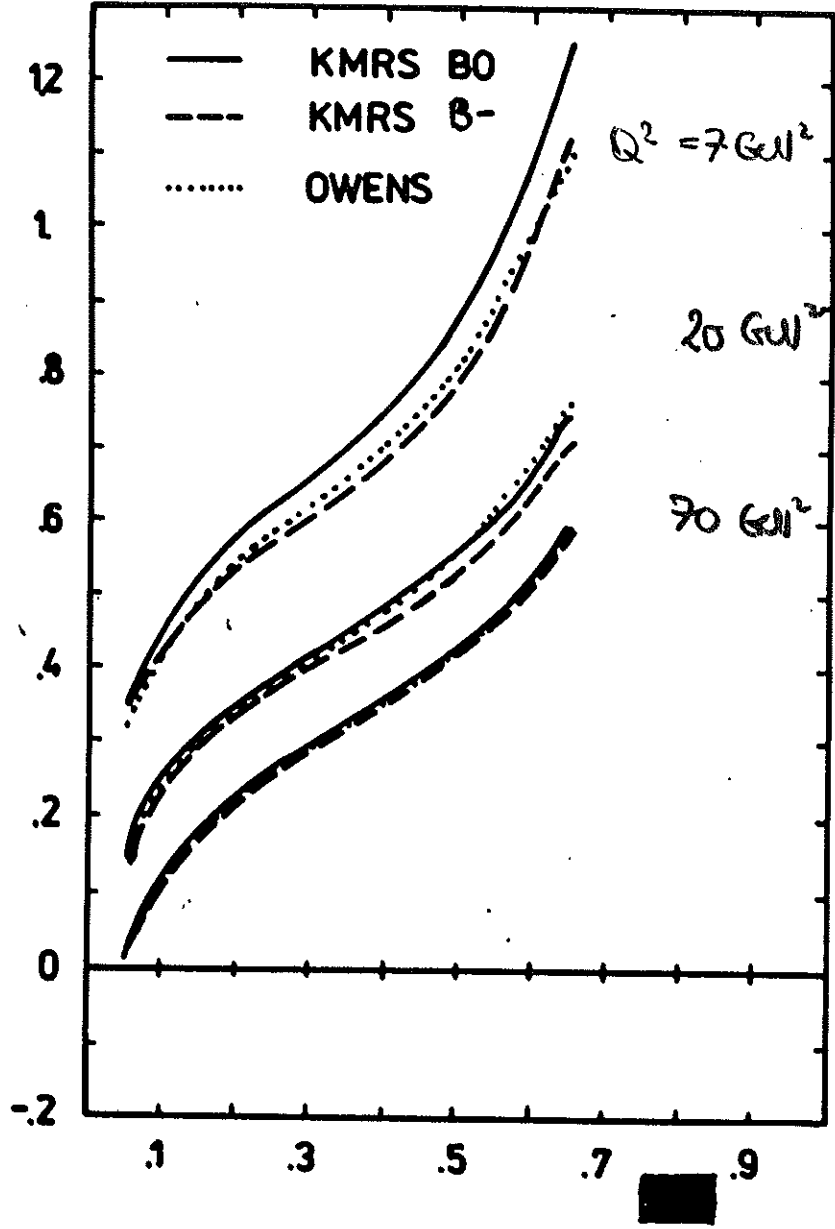
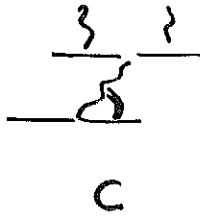
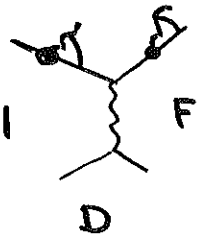
ZIJLSTRA, VAN NEEUWEN



$$R^{(2)}(x, Q^2) = \frac{F_L^{(2)}(x, Q^2)}{\left(1 + \frac{4M_p^2 x^2}{Q^2}\right) F_2^{(1)}(x, Q^2) - F_L^{(2)}(x, Q^2)} \mathcal{O}(\alpha_s^2)$$

ZIJLSTRA, VAN NEEVEN





JB

cf. also
RC WG
DEBY '91

$$\frac{d^2 \sigma^{ep}}{dy dQ^2} = \frac{d^2 \sigma_0^{ep}}{dy dQ^2} (1 + \delta^{ep}(y, Q^2))$$

$\mathcal{O}(\alpha) \text{ QED.}$



3. THE FKL - EQUATION

FADIN, KURAEV, LIPATOV; BALITSEKII

$$f(n, k^2) = \frac{1}{n-1} f_0(n, k^2) + \frac{3}{\pi} (\mathcal{L} \otimes f)(n, k^2)$$

i) $\alpha_s = \text{const.}$ $\mathcal{L} = L_1$

$$L_1 \otimes f_n = \frac{\alpha_s}{n-1} \int_{k_0^2}^{\infty} \frac{d\bar{k}^2}{\bar{k}^2} \left\{ \frac{k^2}{|\bar{k}^2 - k^2|} [f(n, \bar{k}^2) - f(n, k^2)] + \frac{f(n, k^2) k^2}{\sqrt{k^4 + 4\bar{k}^2}} \right\}$$

$$k_0^2 = 0$$

→ EIGENFUNCTIONS :

$$e(n, \omega) = \frac{e(n, \omega^0)}{n - \left(1 + \frac{3\alpha_s}{\pi} K(\omega)\right)}$$

n_0 - POLE of $e(n, \omega)$ FOR $\omega = 0$

$$n_0 = 1 + 2.64 \alpha_s$$

$$G(x) \rightarrow \sim \frac{1}{x^{n_0}}$$

COLLINS
KWIECINSKI

ii) α_s running: $\mathcal{L} = L_2$

$$L_2 \otimes f_n = L_1(\alpha_s \rightarrow \alpha_s(k^2), k_0^2 > 0) \otimes f_n$$

$$1 + \frac{3.6}{\pi} \alpha_s(k_0^2) \leq n_0 \leq 1 + 4 \ln 2 \cdot \left(\frac{3}{\pi}\right) \alpha_s(k_0^2)$$

$$1.31 \leq n_0 \leq 1.72$$

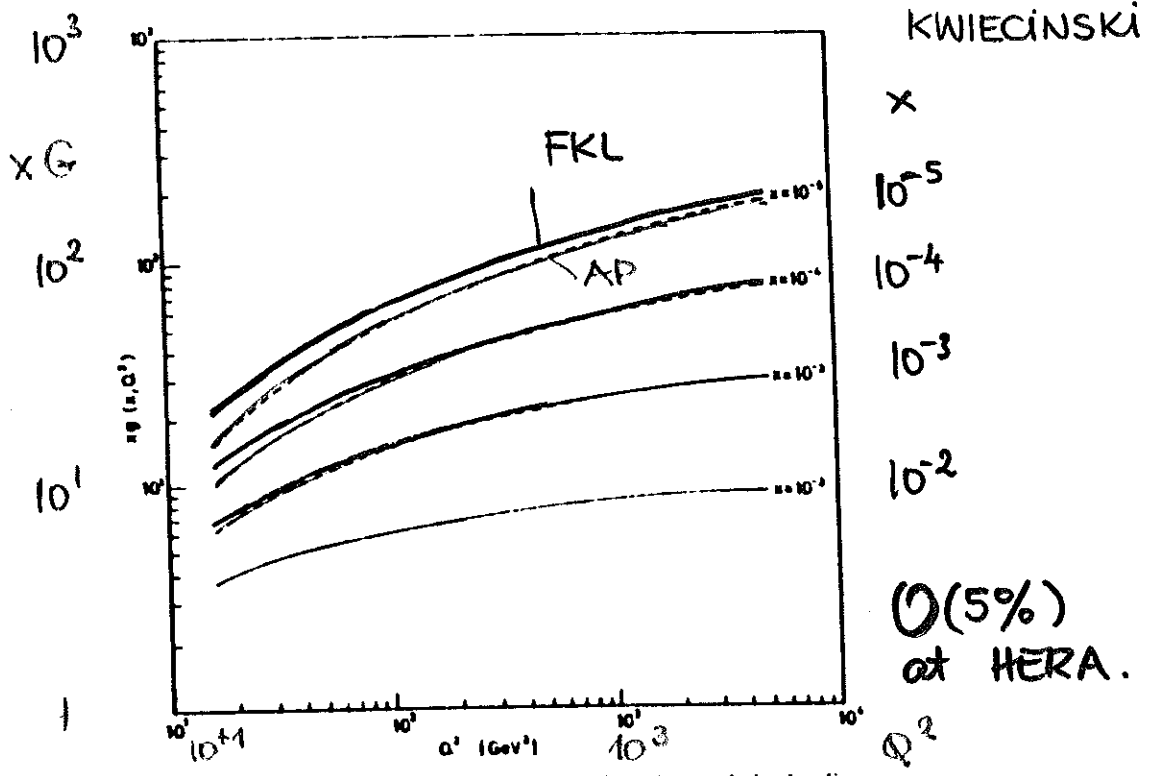


Fig. 3. The Q^2 evolution of gluon distributions beyond the leading $\ln 1/x$ approximation after corrections due to the constant term in the product $A_{gg}(m) \otimes A_{gg}(m)$ are included. The full line corresponds to the solution of the appropriately modified (3.6) and the dotted line to its leading $\log Q^2$ counterpart

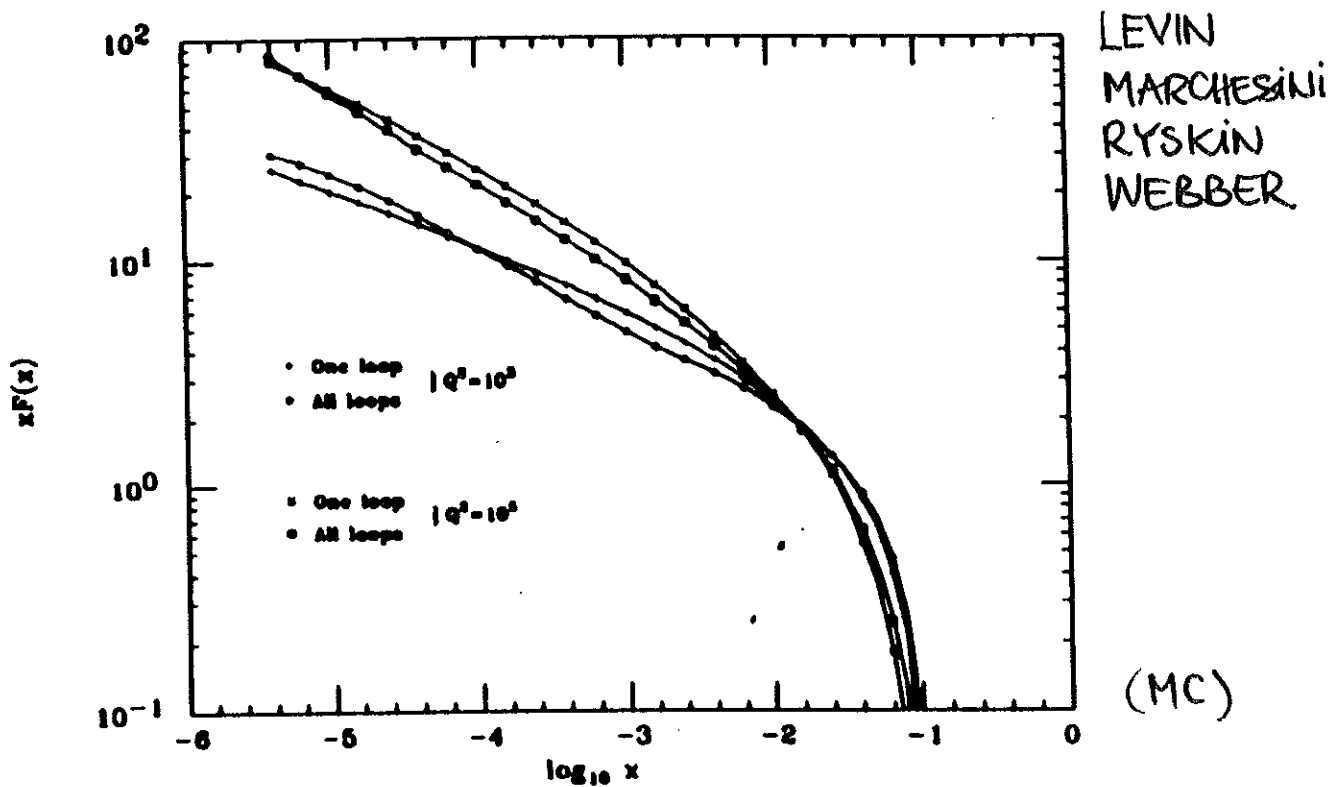
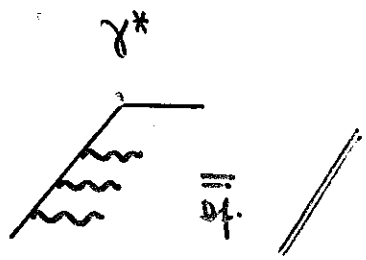


Fig. 6. Monte Carlo structure functions for $Q_s = 0.4$ GeV with starting condition $xF(x, Q_s^2) = \delta(x - 0.1)$ at $Q_s^2 = 5$ GeV².

4. THE GLR EQUATION

a) TWIST-2 : EVOLUTION OF INDIVIDUAL PARTONS

$$x \gtrsim 10^{-2} \quad Q^2 > 10 \text{ GeV}^2$$

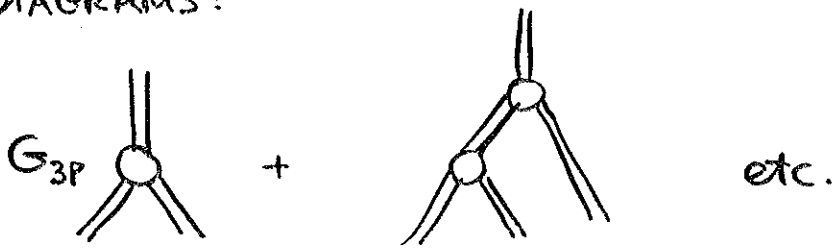


b) SEMI-HARD RANGE : x - GETTING SMALLER

$$Q^2 \gtrsim 10 \dots 50 \text{ GeV}^2$$

PARTONS RECOMBINE - FINITE 'PROTON' RADIUS
+ HIGH PARTONIC DENSITIES

DIAGRAMS :



- GRIBOV, LEVIN, RYSKIN, 1982: LEADING PART AT EACH NODE
 $G + G \rightarrow G$ (Ladders)
- BARTELS 1992 (FURTHER CONTRIBUTIONS)

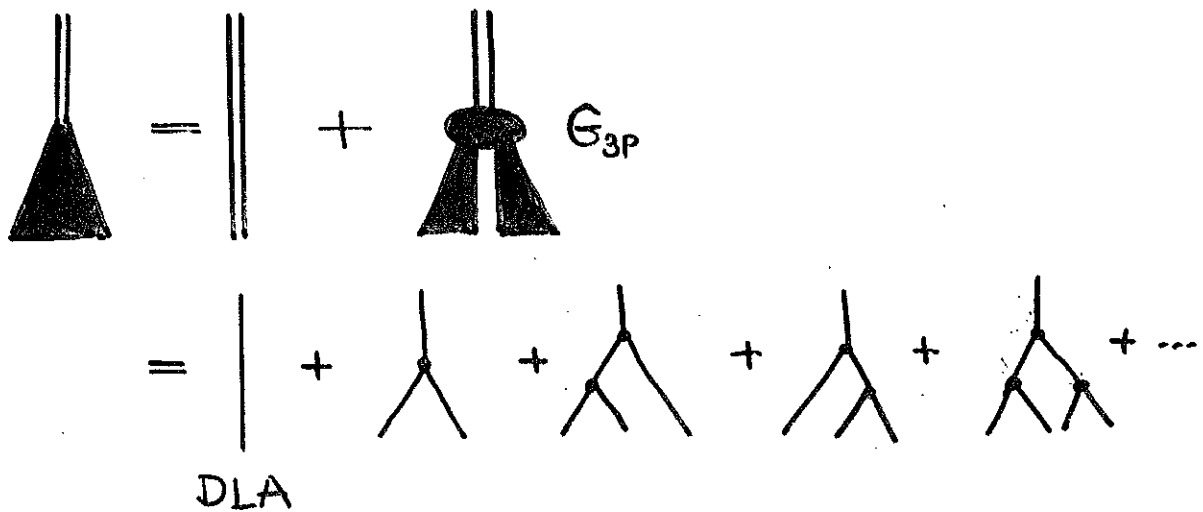
MUELLER, QIU: DLA - EXPRESSION FOR G_{3P} .

$$G_{3P}(\xi) = \frac{3}{4} \pi^2 \frac{1}{\beta_0} e^{\xi_0} \exp[-(e^\xi + \xi)]$$

$$= \frac{3}{4} \pi^2 \frac{1}{\beta_0} \left(\frac{Q_0^2}{Q^2} \right) \frac{1}{\ln\left(\frac{Q^2}{\Lambda^2}\right)} \quad ; \text{HT}$$

$$= C \cdot \frac{\Lambda^2}{Q^2}$$

SUMMATION OF FAN DIAGRAMS:



GLR:

$$\frac{\partial^2 F(\xi, y)}{\partial \xi \partial y} = \frac{1}{2} F(\xi, y) - C \exp[-(e^\xi + \xi)] F^2(\xi, y)$$

DLA

ASSUMPTION: $G_n(x_1, \dots, x_n) \simeq G(x_1) \dots G(x_n)$

IMPROVE WITH RESPECT TO REALISTIC INITIAL CONDITIONS:

(BARTELS, JB, SCHULER)

$$F(\xi, y) = G(\xi_0, y) + \int_{\xi_0}^{\xi} d\xi' \int_0^y dy' F(y', \xi') \left[\frac{1}{2} - C \exp[-(e^{\xi'} + \xi')] \times F(\xi', y') \right]$$

↑
INPUT AT Q_0^2 .

PROPERTIES OF THE EQUATION

i) SOLUTIONS ARE BOUNDED FROM ABOVE:

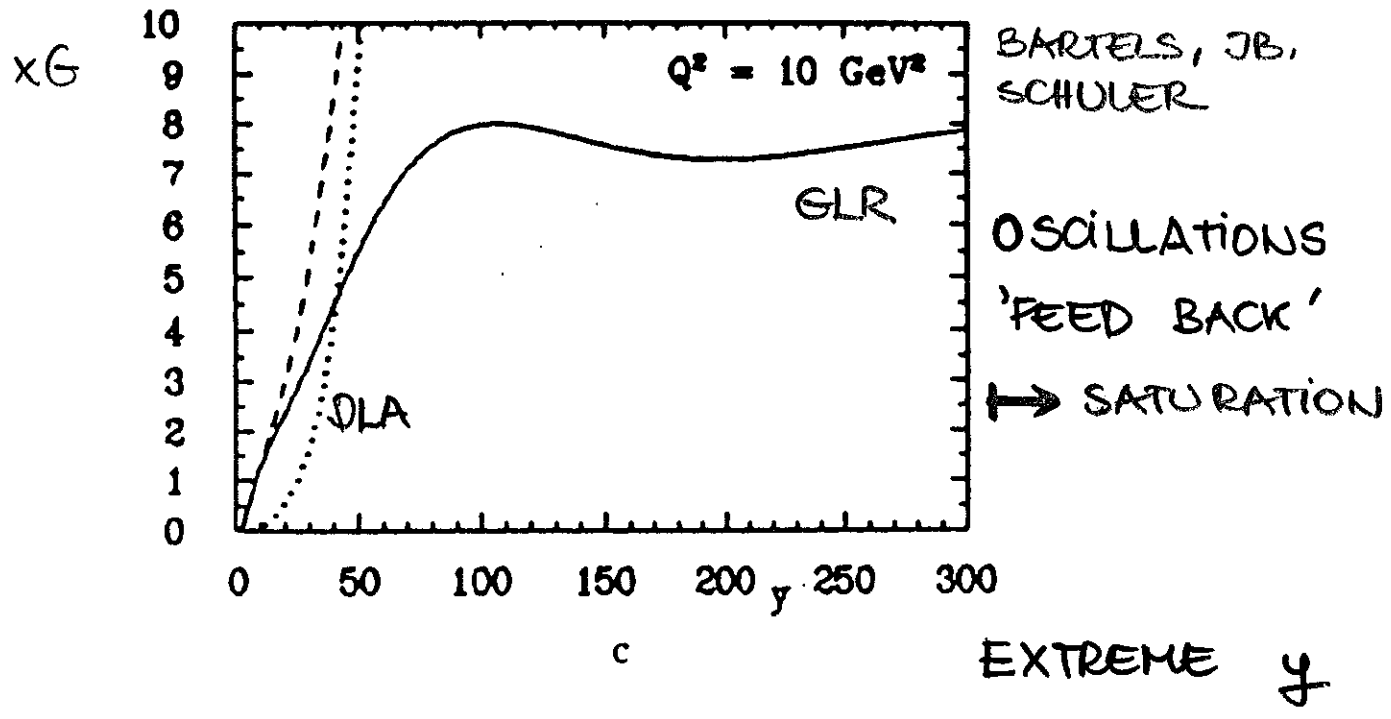
$$F \leq F_0(\xi, y) \quad (\text{DLA}) \quad (\text{AND BELOW } F_{\text{plup}} \geq 0!)$$

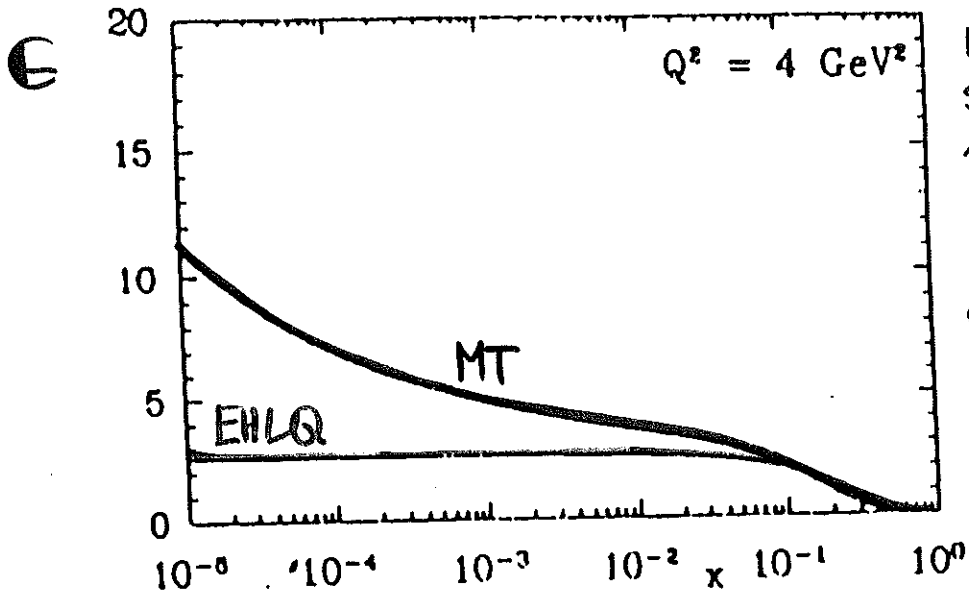
$$\begin{aligned} \text{ii) } \lim_{y \rightarrow \infty} F(y, \xi) &= \frac{1}{2C} \exp[e^\xi + \xi] \\ &= \frac{1}{2C} \frac{Q^2}{\Lambda^2} \cdot \ln\left(\frac{Q^2}{\Lambda^2}\right) = \text{const } \xi \text{ or } Q^2. \end{aligned}$$

INDEPENDENT OF THE PARTICULAR CHOICE OF $G(y, \xi_0)$!

iii) $\exists!$ $F(y, \xi)$

SOLUTION: QUADR. EDU. (LOCALLY) AT A SOFF. FINE GRID IN (ξ, y)
'VOLTERRA-TYPE' ED. BOTH IN ξ & y .

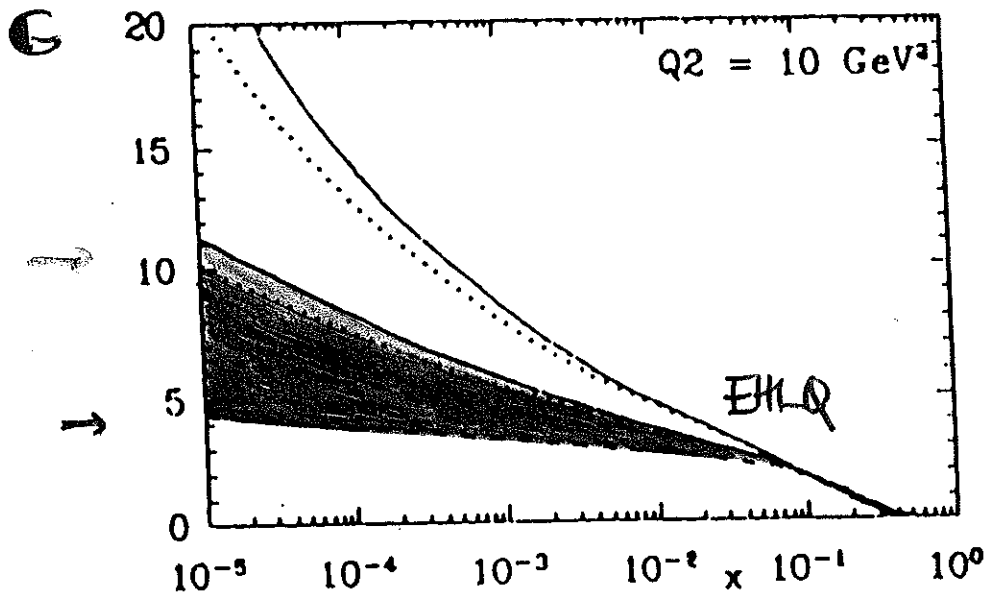




BARTELS, JB,
SCHULER
1990

(cf. COLLINS,
KWIEZINSKI
1990;
ALTMANN,
GLÜCK,
REYA, 1992
FOR SIMILAR
INVESTIG.)

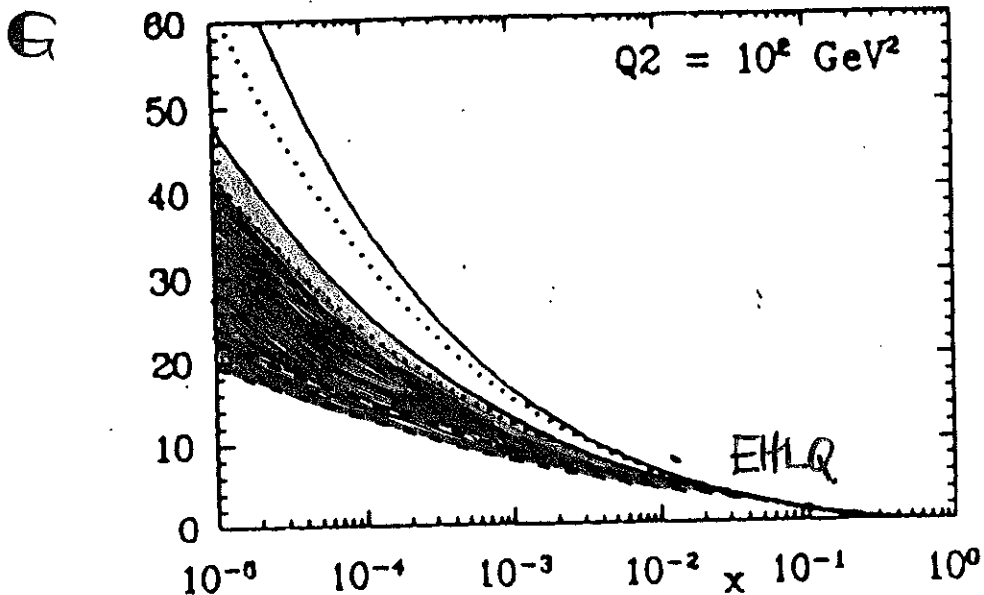
a



$C = C(\hat{Q}_0^2)$
 $\hat{Q}_0 = \hat{Q}_0(R_{scr})$

$\frac{1}{10}$ ↓
C →

b



c

SEMICLASSICAL SOLUTIONS

BARTELS, FB, SCHULER ; COLLINS, KWIECINSKI

$$F(y, \xi) \stackrel{\text{def}}{=} \exp(S(y, \xi))$$

$$S_{1y} S_{1\xi} = \frac{1}{2} - C \exp[s - e^\xi - \xi]$$

$$S_{1y} S_{1\xi} \gg S_{1y|1\xi} \quad (\xi \cdot y \gg 1)$$

$$\dot{y} = S_{1\xi}$$

$$\dot{\xi} = S_{1y}$$

$$\dot{S} = 2S_{1y} S_{1\xi}$$

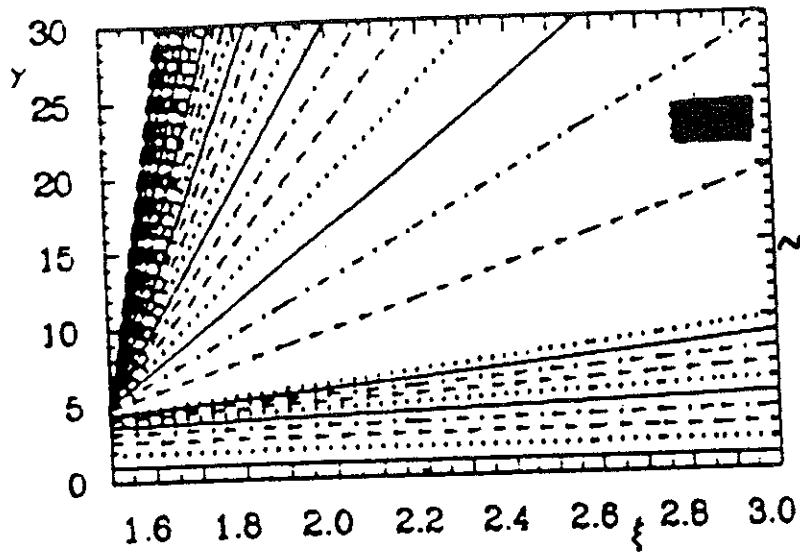
$$\dot{S}_{1\xi} = -C \exp(s - e^\xi - \xi) S_{1y}$$

$$\dot{S}_{1y} = -C \exp(s - e^\xi - \xi) (S_{1\xi} - 1 - e^\xi)$$

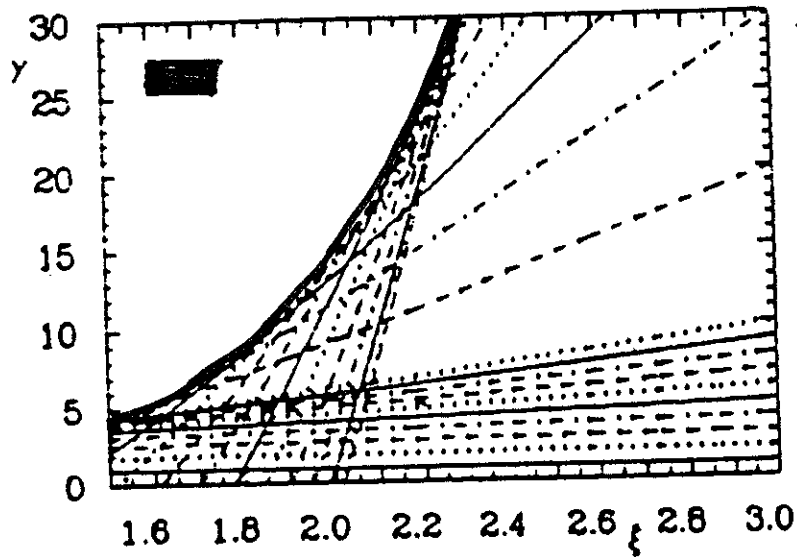
$$C=0$$

$$\ddot{y} = \ddot{\xi} = 0 \quad : \text{STRAIGHT LINES} \\ \text{IN } \xi \text{ \& } y.$$

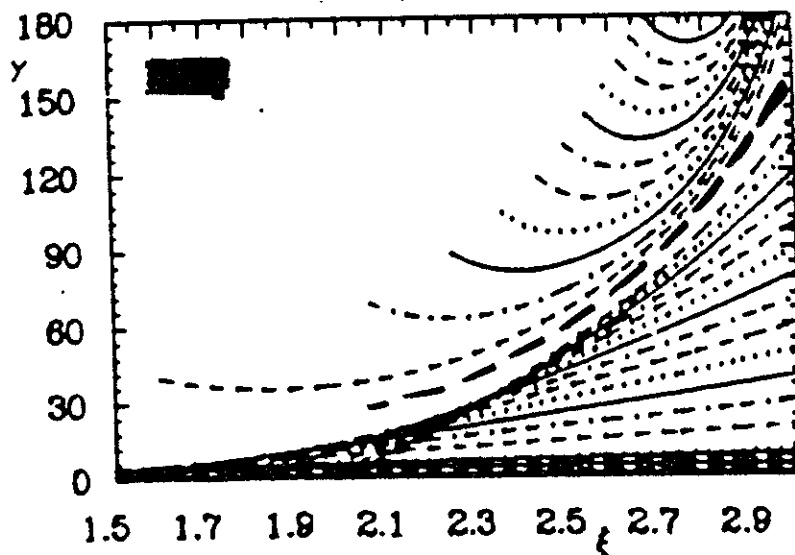
BARTELS, FB,
SCHULER



a



b



AP + FAN-DIAGRAMS (xG)

MUELLER, QIU

$$\begin{aligned} \frac{d x q_s(x, Q^2)}{d \ln Q^2} &= \frac{\alpha_s}{2\pi} \left[P_{qq} \otimes xG + P_{qg} \otimes xq_s \right] \\ &- \frac{27 \alpha_s^2}{16 R^2 Q^2} (xG(x, Q^2))^2 \\ &+ \frac{\alpha_s}{\pi Q^2} \theta(x_0 - x) \int_x^{x_0} \frac{dx'}{x'} \gamma\left(\frac{x}{x'}\right) xG_H(x', Q^2) \end{aligned}$$

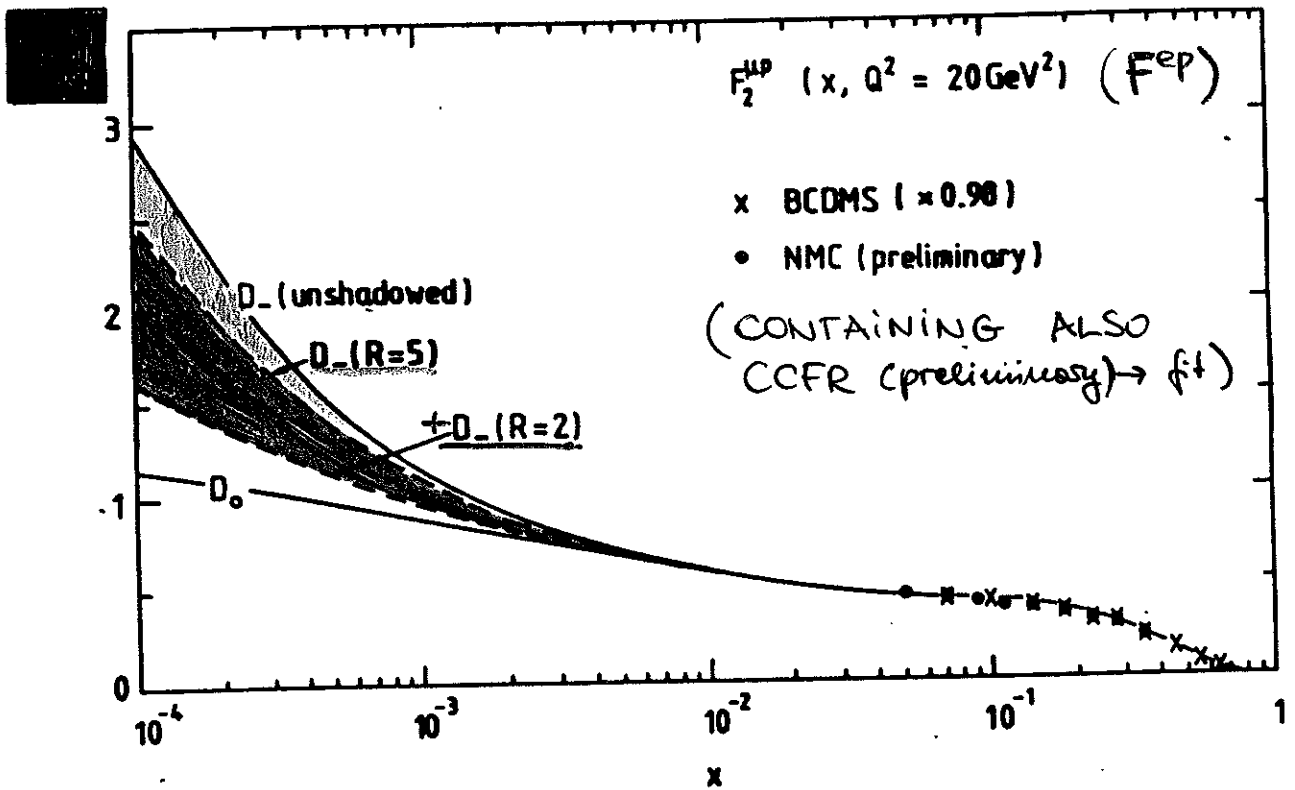
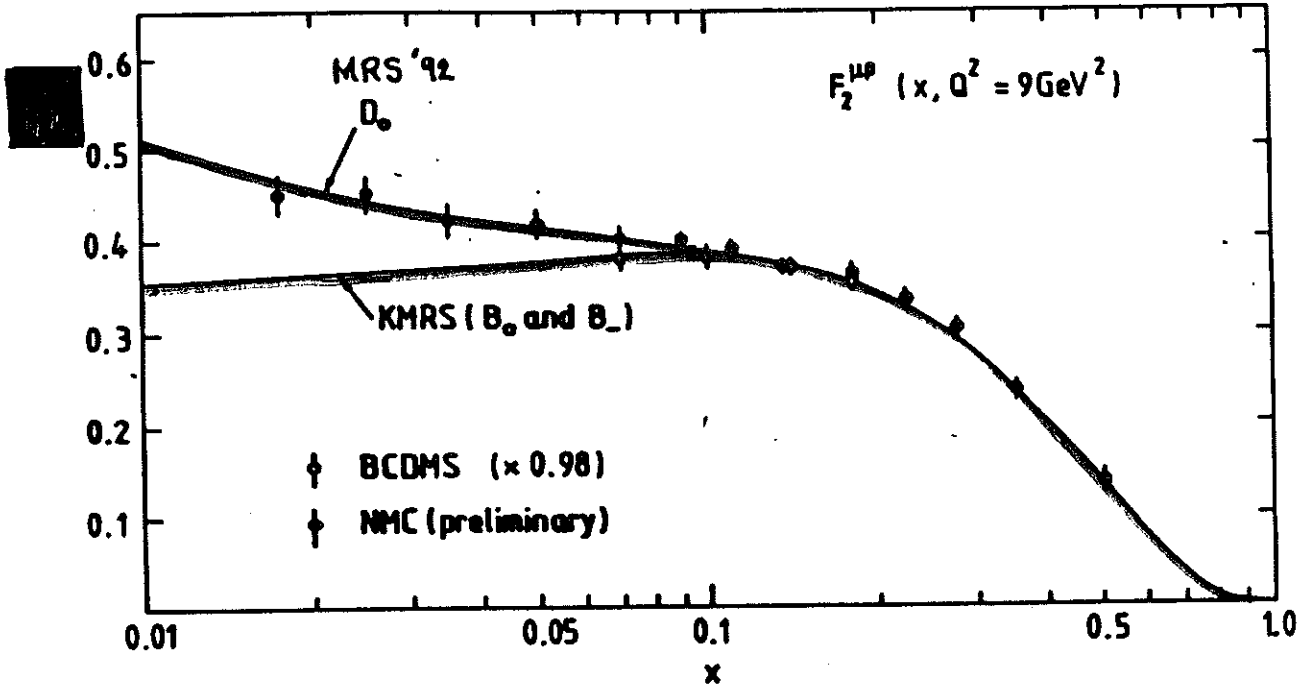
$$\gamma(y) = -2y + 15y^2 - 30y^3 + 18y^4$$

$$\frac{d xG_H(x, Q^2)}{d \ln Q^2} = - \frac{81 \alpha_s^2}{16 R^2} \theta(x_0 - x) \int_x^{x_0} \frac{dx'}{x'} [x'G(x', Q^2)]^2$$

$$\begin{aligned} \frac{d xG(x, Q^2)}{d \ln Q^2} &= \frac{\alpha_s}{2\pi} \left[P_{gg} \otimes xG + P_{gq} \otimes xq \right] \\ &- \frac{81 \alpha_s^2}{16 R^2 Q^2} \theta(x_0 - x) \int_x^{x_0} \frac{dx'}{x'} [x'G(x', Q^2)]^2 \end{aligned}$$

USED IN: KMRS

MARTINI, ROBERTS,
STIRLING



JB, M. KLEIN

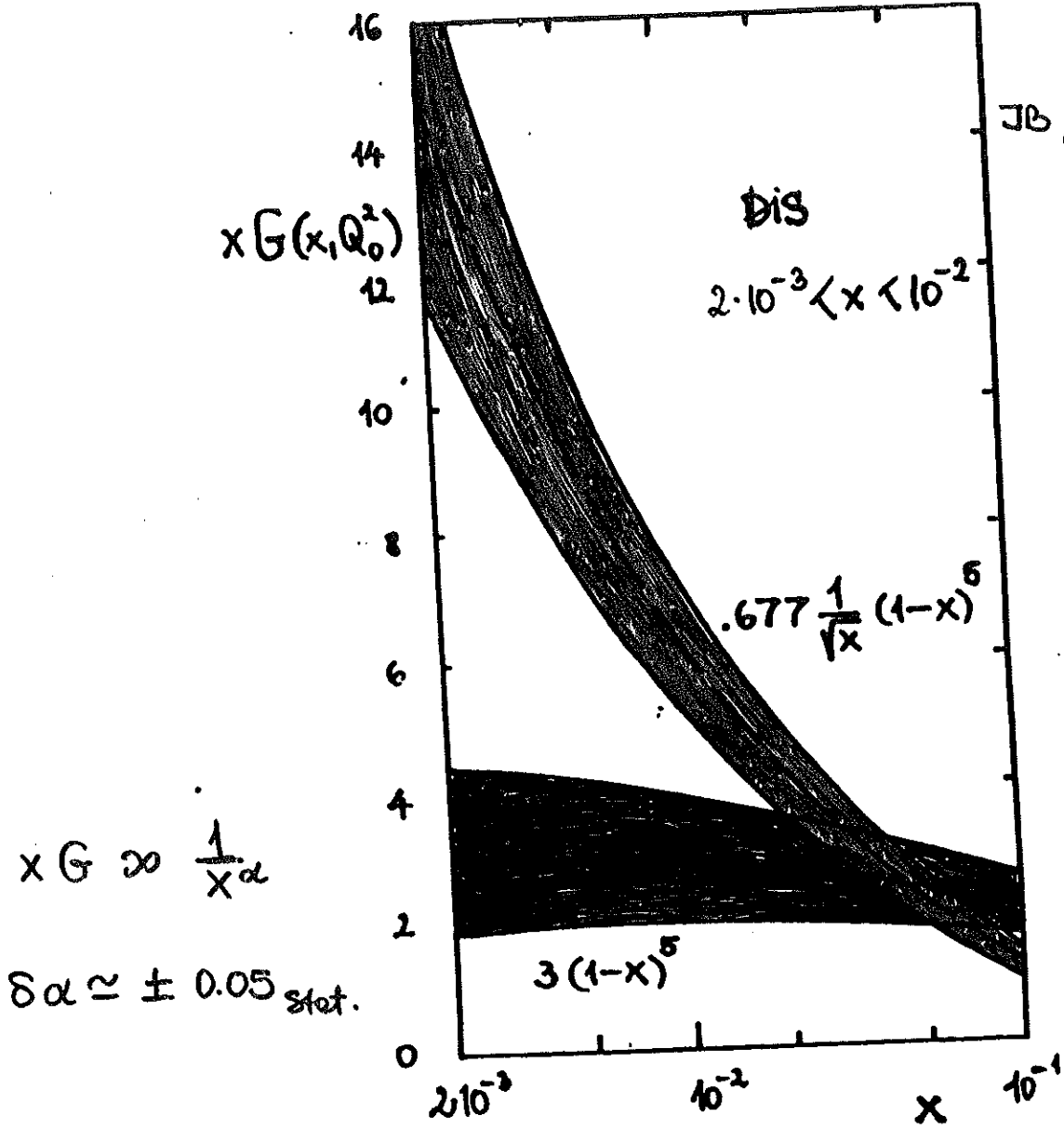


Figure 8: Possible determination of $xG(x, Q_0^2)$ in a QCD fit for $x < 0.1$, see text. The upper error band corresponds to the choice $\alpha = -0.5$ and the lower band to $\alpha = 0$, see eq. 15. The inner error denotes the statistical error for $\mathcal{L} = 100 \mu b^{-1}$ for both the low and high s option.

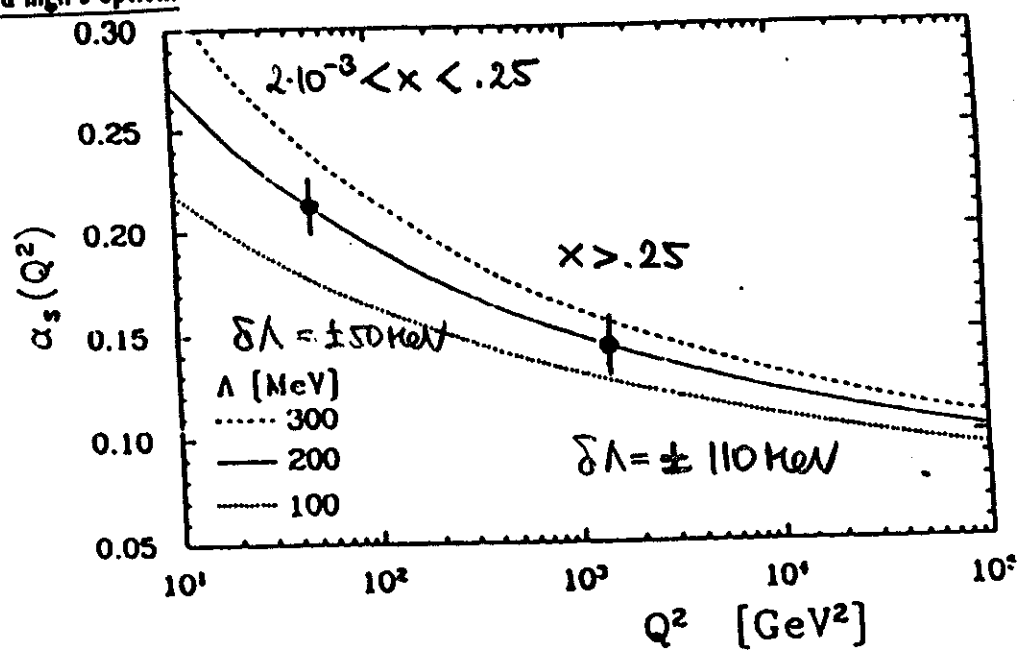
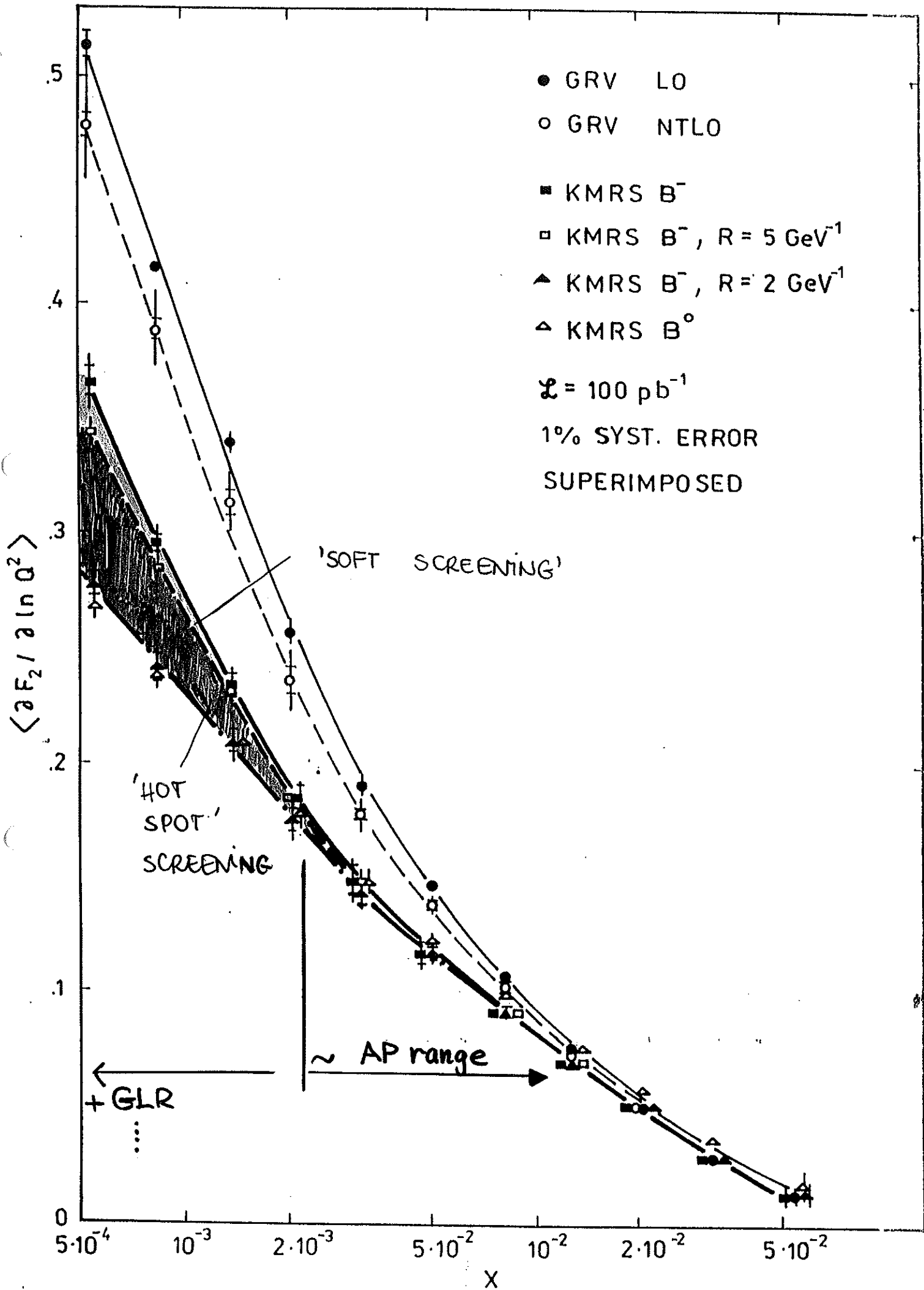
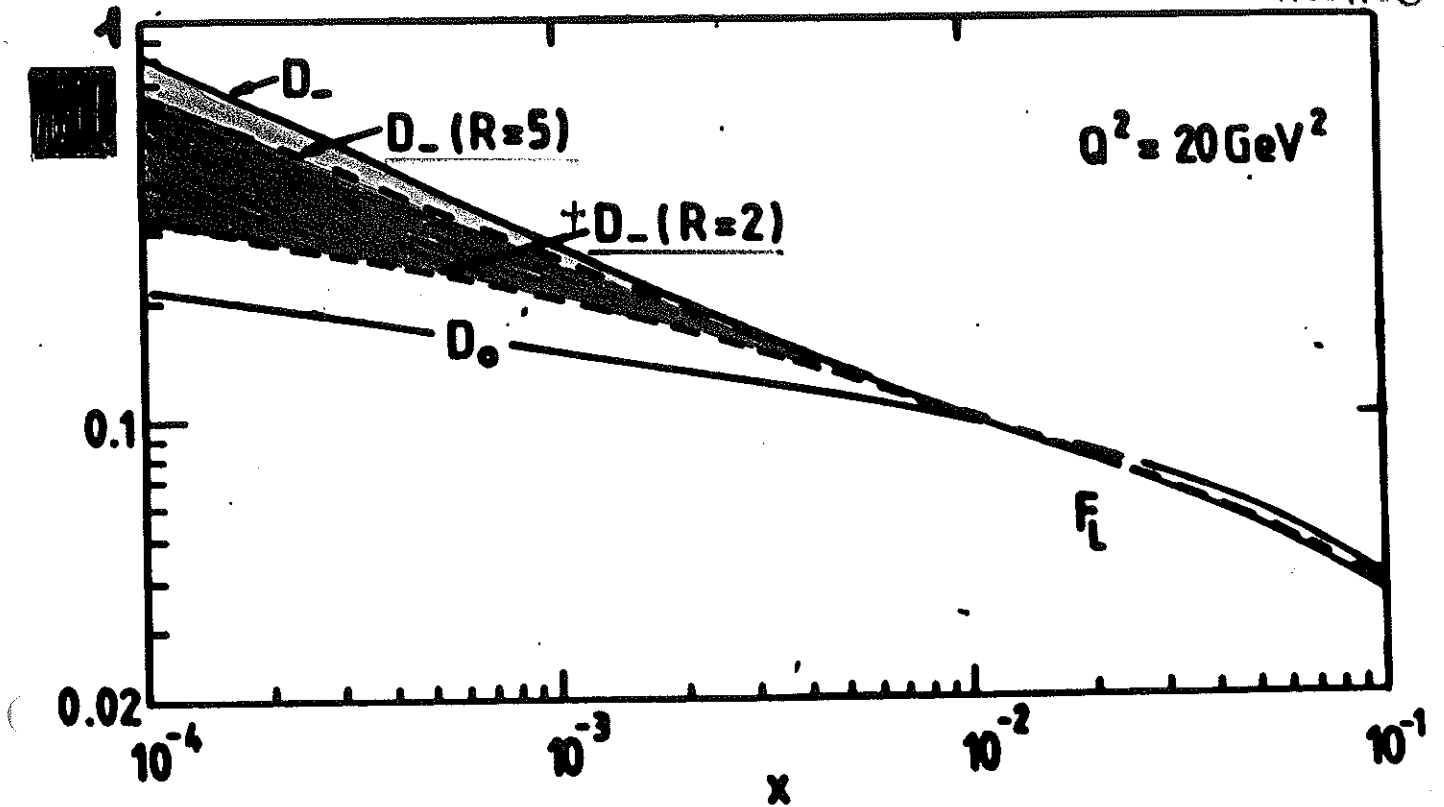


Figure 7: Dependence of α_s on Q^2 from a combined fit using two samples of $\sqrt{s} = 314$ GeV and $\sqrt{s} = 110$ GeV with $\mathcal{L} = 100 \mu b^{-1}$ each. The upper point corresponds to a nonsinglet fit for $\theta_j > 5^\circ$ and $x > 0.25$. The lower point at $Q^2 \sim 50$ GeV² corresponds to a fit in the





$O(\alpha_s)$:

$$F_L(x, Q^2) = \frac{\alpha_s}{2\pi} \left\{ \frac{8}{3} \int_x^1 \frac{dy}{y} \left(\frac{x}{y}\right)^2 F_2(y, Q^2) \right. \\ \left. + 2 \sum_{q \neq \bar{q}} e_q^2 \int_x^1 \frac{dy}{y} \left(\frac{x}{y}\right)^2 \left(1 - \frac{x}{y}\right) y G(y, Q^2) \right\}$$

ROBERTS:

$$xG(x, Q^2) \approx \frac{3}{5} \times 5.85 \left\{ \frac{3\pi}{4\alpha_s} F_L(0.4x, Q^2) \right. \\ \left. - \frac{1}{2} F_2(0.8x, Q^2) \right\}$$

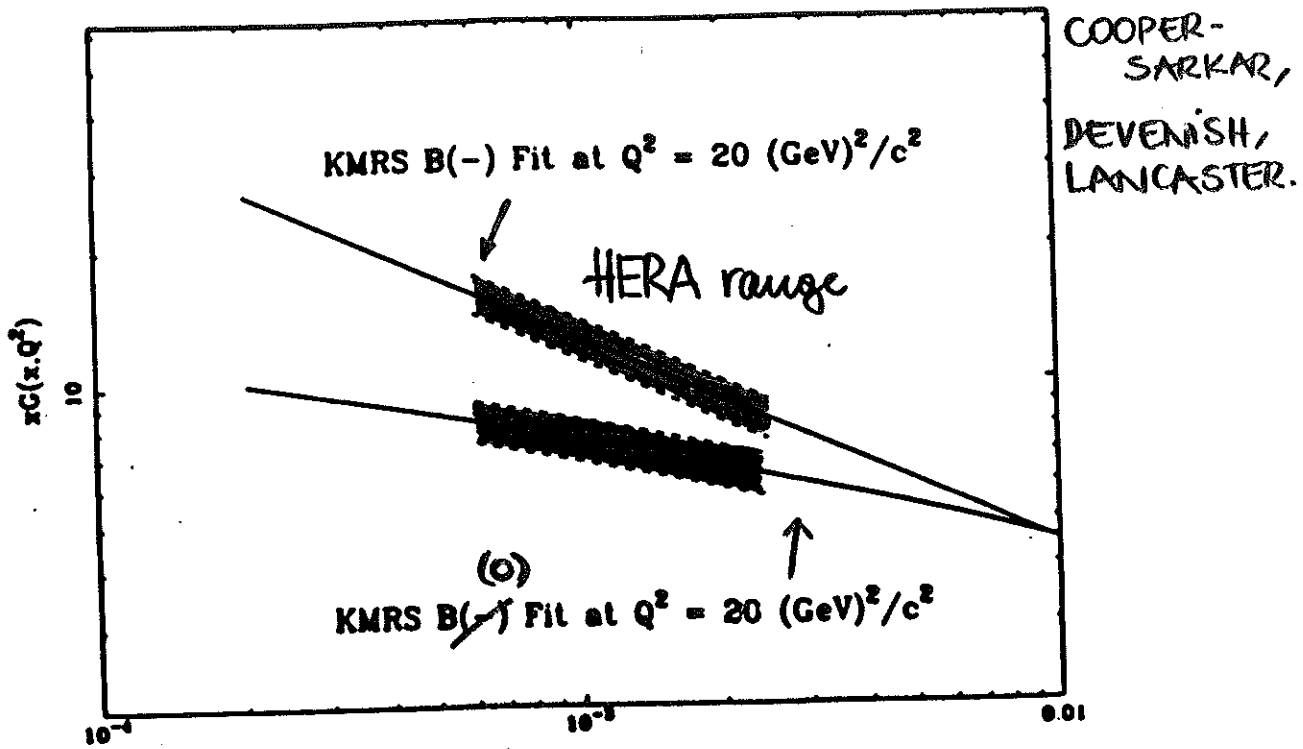


Fig 11 - Gluon at Low- x & measurable domain (with errors)

(FROM F_L AP-0(α_s).)

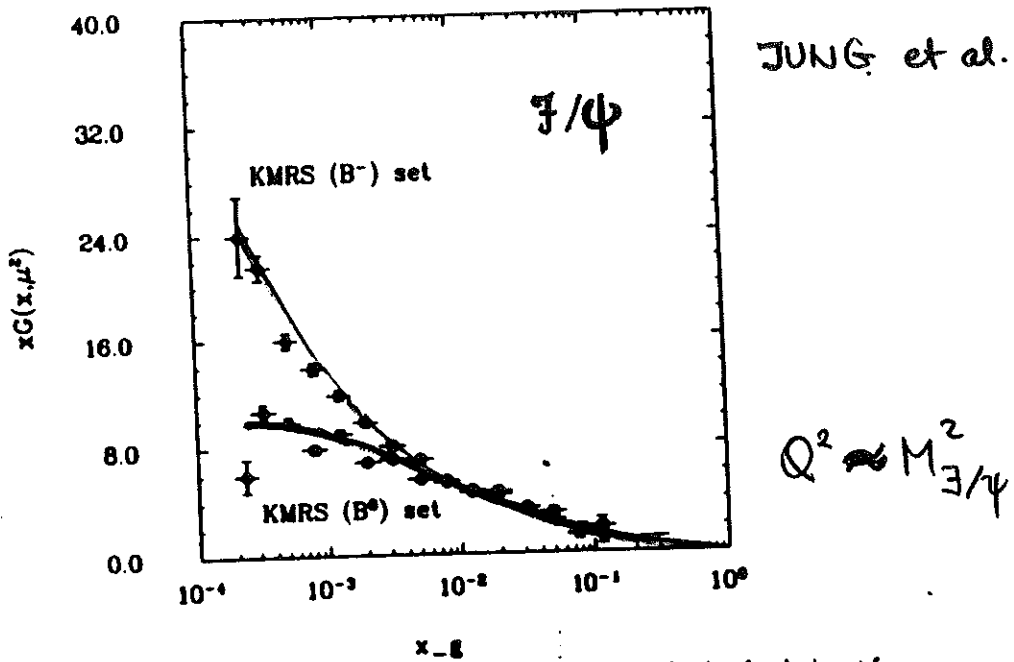


Figure 16: The gluon density reconstructed from inelastic J/ψ production for the input function of KMRS. The statistical error bars correspond to an integrated luminosity of 100 pb^{-1} . The curves show the input gluon density.

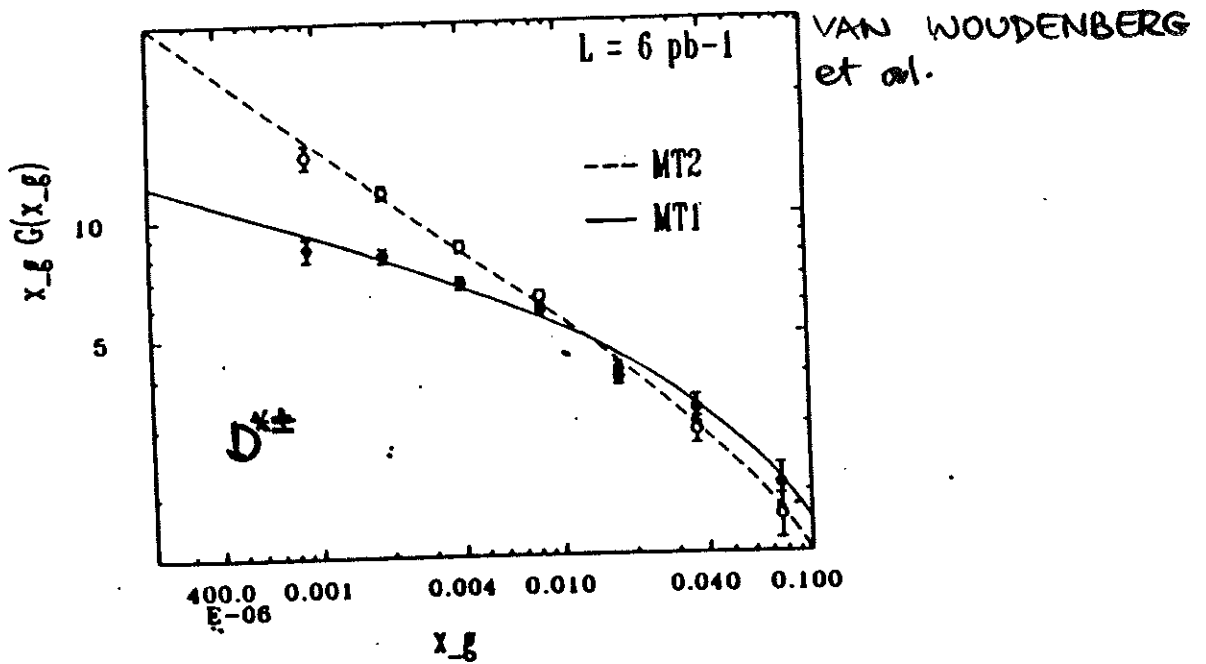
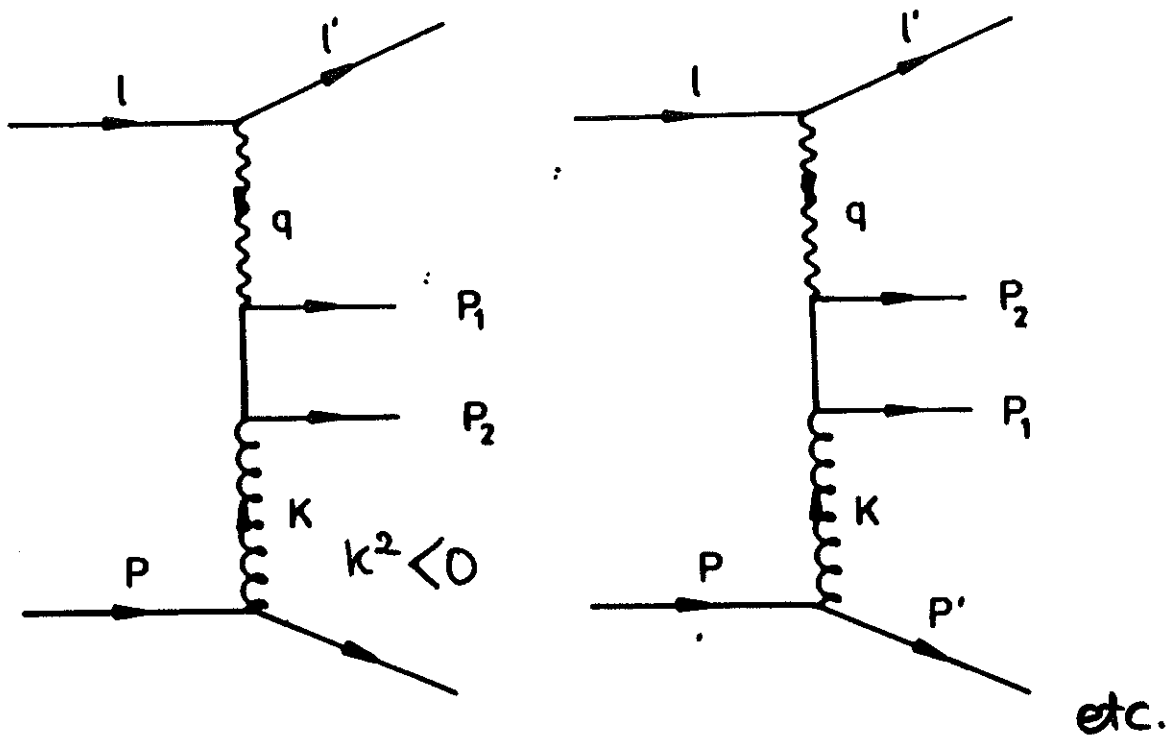


Figure 18

Reconstructed gluon densities from inclusive $D^{*±}$ production. The curves show the input gluon functions taken from Morfin and Tang [36]. The error bars include statistical errors for an integrated luminosity of 6 pb^{-1} .

EFFECTS DUE TO GLUON VIRTUALITY



FACTORIZATION

$$H(x, \mu^2) = \int d^2k \int_0^1 dx_1 dx_2 \delta(x - x_1 x_2) F(x_1, k, Q_0^2) \sigma_H^p(x_2, \mu^2, k)$$

$$F(x, k, Q_0^2) = \frac{\partial x G(x, k_\perp^2)}{\partial k_\perp^2}$$

- HF: CIAFALONI, CATANI, HAUTHANN
- COLLINS, ELLIS, R.K.,
- LEVIN, RYSKIN, SHABELSKI, SHUVAEV

SMALL x 'HIGH ENERGY' APPR.:

*)

- LEVIN, RYSKIN (GRIBOV, LIPATOV, PROLOV;
CHENG, WU)

→ PROBLEMS:

i) CONTACT TO THE USUAL $k^2=0$ PDF'S ?!

→ WORK IN A WELL DEFINED
FACTORIZATION SCHEME

→ THE DERIVED TERMS ARE NON-SINGULAR!

ii) CONVOLUTIONS IN x REQUIRE

THE KNOWLEDGE OF f_{gg} etc. ALSO FOR
LARGE x . (→ FULL P_{ij} 's)

iii) PHASE SPACE REQUIREMENTS HAVE
TO BE MET.

$x \ll 1$: HOT SPOT : • BARTELS, LOENE, DE ROECK ;
• TANG ;
• KWIECINSKI, MARTIN, SUTTON.

$$\frac{d^2\sigma}{dQ^2 dy} = 2\pi\alpha^2 \frac{Ms}{(s-M^2)^2} \frac{1}{Q^4} L_{\mu\nu} W^{\mu\nu}$$

$$L_{\mu\nu} = 2 [l_\mu l'_\nu + l'_\mu l_\nu - g_{\mu\nu} l \cdot l']$$

$$W_{\mu\nu} = \frac{1}{4\pi} \sum_X \langle P | J_\mu^1(0) | n \rangle \langle n | J_\nu(0) | P \rangle (2\pi)^4 \delta^{(4)}(P + q - p_X)$$

$$W_{\mu\nu} = \left(-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) W_1(x, Q^2) + \frac{1}{M^2} \left[\left(P_\mu - \frac{P \cdot q}{q^2} q_\mu \right) \left(P_\nu - \frac{P \cdot q}{q^2} q_\nu \right) \right] W_2(x, Q^2)$$

$$F_2(x, Q^2) = x T_{\mu\nu}^1 W^{\mu\nu} = x \left(-g_{\mu\nu} + \frac{12x^2}{Q^2} P_\mu P_\nu \right) W^{\mu\nu}$$

$$F_L(x, Q^2) = x T_{\mu\nu}^2 W^{\mu\nu} = \frac{8x^3}{Q^2} P_\mu P_\nu W^{\mu\nu}$$

$$-g^{\mu\nu} \widehat{W}_{\mu\nu} = 8\pi e_q^2 \alpha_s \left\{ -\frac{\hat{u}}{\hat{l}} - \frac{\hat{l}}{\hat{u}} + \frac{2\hat{s}Q^2}{\hat{u}\hat{l}} - k^2 \left[\frac{Q^2}{\hat{l}^2} + \frac{Q^2}{\hat{u}^2} + \frac{2\hat{s}}{\hat{u}\hat{l}} \right] \right\}$$

$$\eta^2 P^\mu P^\nu \widehat{W}_{\mu\nu} = 16\pi e_q^2 \alpha_s \eta^2 \left\{ \frac{A_1}{\hat{l}} + \frac{A_2}{\hat{u}} + \frac{\hat{s}A_3}{\hat{u}\hat{l}} + k^2 \left[\frac{B_1}{\hat{l}^2} + \frac{B_2}{\hat{u}^2} + \frac{B_3}{\hat{u}\hat{l}} \right] \right\}$$

$$A_1 = -(k \cdot P)^2 - 2(k \cdot P)(q \cdot P) + 2(k \cdot P)(p_1 \cdot P) - (q \cdot P)^2 + 3(q \cdot P)(p_1 \cdot P) - 2(p_1 \cdot P)^2$$

$$A_2 = -(k \cdot P)^2 - (k \cdot P)(q \cdot P) + 2(k \cdot P)(p_1 \cdot P) + (q \cdot P)(p_1 \cdot P) - 2(p_1 \cdot P)^2$$

$$A_3 = -(k \cdot P)^2 - (k \cdot P)(q \cdot P) + 2(k \cdot P)(p_1 \cdot P) + 2(q \cdot P)(p_1 \cdot P) - 2(p_1 \cdot P)^2$$

$$B_1 = -(q \cdot P)(p_1 \cdot P) + (p_1 \cdot P)^2$$

$$B_2 = (k \cdot P)^2 + (k \cdot P)(q \cdot P) - 2(k \cdot P)(p_1 \cdot P) - (q \cdot P)(p_1 \cdot P) + (p_1 \cdot P)^2$$

$$B_3 = (k \cdot P)^2 + 2(k \cdot P)(q \cdot P) - 4(k \cdot P)(p_1 \cdot P) + (q \cdot P)^2 - 4(q \cdot P)(p_1 \cdot P) + 4(p_1 \cdot P)^2$$

$$F_i^G(x, Q^2) = \int_x^1 \frac{dy}{y} \int_{\Lambda^2}^{k_{\perp \max}^2} dk_{\perp}^2 \frac{\partial G(y, k_{\perp}^2)}{\partial k_{\perp}^2} \times \theta(k_{\perp \max}^2 - \Lambda^2) \\ \times \left[\sigma_{i(0)}^G\left(\frac{x}{y}, Q^2\right) + \sigma_{i(1)}^G\left(\frac{x}{y}, Q^2, x, k_{\perp}^2\right) \right]$$

$$k_{\perp \max}^2 = \frac{1}{x} \left(\frac{1-y}{1-x} \right) (y-x) Q^2, \quad k^2 = \frac{k_{\perp}^2}{1-z}$$

$$\sup k_{\perp \max}^2 = \frac{1-x}{4x} Q^2$$

Contact to the $k^2 = 0$ representations of $F_i^G(x, Q^2)$:

$$\lim_{k^2 \rightarrow 0} \sigma_{i(1)}^G(z, Q^2, x, k_{\perp}^2) = 0$$

DIS scheme expr. derived ($k^2 = 0$) (transition to other schemes as usual).

$$k^2/Q^2 \ll 1: \quad \sigma_{i(1)}^G \sim A \cdot \frac{k^2}{Q^2} (1 + \dots)$$

Numerical work in progress:

1st estimates: • little effect for $x \gtrsim 10^{-2}$

• non-negligible contribution for $x \sim 10^{-4}$

6. CONCLUSIONS

- 1) FOR $x \rightarrow 0$ THE CONTRIBUTIONS OF THE TWIST 2 TERMS YIELD A STRONG GROWTH OF THE PDF'S IN LO & NTLO. (NTLO YIELDS A NEGATIVE CONTRIBUTION, BUT DOES NOT STOP THE GROWTH.)
- 2) THE $O(\alpha_s^2)$ TERMS ARE VERY IMPORTANT QUANTITATIVELY : $\delta F_i(\text{HERA}) \sim 5\%$
 $O(\alpha_s^3) : 25\% \dots$
- 3) THE FKL-TERMS YIELD $\sim 5\%$ CONTRIBUTIONS AND SHOULD NOT BE NEGLECTED.
- 4) CAREFUL TREATMENT OF HF-TERMS IS REQUIRED (\rightarrow WU KI).
- 5) THE GLR EQU. LEADS TO SCREENING CORRECTIONS WHICH MIGHT BE REVEALED AT HERA.
IN ITS CONCEPT SATURATION MAY BE OBTAINED FOR $F_i(x, Q^2), x \rightarrow 0$.
- 6) FURTHER WORK IS NEEDED TO CLARIFY WHETHER THE GLR TERMS THE ONLY RELEVANT ONES LEADING TO SHADOWING.
- 7) 'VIRTUAL' GLUON CONTRIBUTIONS AT SMALL x YIELD A FINITE CONTRIBUTION TO $F_i(x, Q^2)$.
- 8) HERA WILL PROVIDE EXP. TESTS VIA $F_2, F_L, \sigma(cc), \sigma(\gamma/\psi)$ ON xG AND xq_s, A & R_{sc} .